

**University of Houston System
Office of the Treasurer**

Annual Investment Information Disclosure

Fiscal Year 2018

Investment questions should be directed to:

Roberta Puryear, Treasurer

Phone: 713-743-8780

Fax: 713-743-8795

rdpuryear@central.uh.edu

Mail Code: TREAS 2009

Building: Ezekiel W. Cullen Building, Room 2

P.O. Box 988

Houston, Texas 77001-0988

**University of Houston System
Office of the Treasurer
Annual Investment Information Disclosure
Fiscal Year 2018**

In accordance with Chapter 2.3 of the State Auditor's Office Report No. 02-058 and as further clarified in procedures adopted in 2012, the following information is submitted:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution contracts with an investment consultant, Cambridge Associates in Dallas, Texas, to provide investment consulting advice, but they do not have discretionary authority. Yes, the institution contracts with outside investment managers through various investment vehicles including separate accounts, commingled funds, limited partnerships, etc. They have authority to make investment decisions without obtaining prior approval. As it relates to separate accounts, such managers make these decisions within the investment policy guidelines. The investment managers with whom the institution has funds invested as of fiscal year end 2018 are as follows:

Separately Managed Accounts:

Columbia Management
One Financial Center
Boston, MA 02111-2621

Bank of America
100 Federal Street
Boston, MA 02110

JPMorgan Asset Management
245 Park Ave. 5th Floor
New York, NY 10167

Bayersche Landesbank
500 Lexington Ave
New York, NY 10022

Dreyfus Cash Management Funds
877-334-6889

Morgan Stanley Fund Services
800-236-0992

TexPool Participant Services
C/O Federated Investors Inc
1001 Texas Ave, Suite 1400
Houston, Texas 77002

Wells Fargo Bank
625 Marquette Ave, 11th Floor
Minneapolis, MN 55402-2308

Smith Graham & Company
6900 Chase Tower
600 Travis Street
Houston, TX 77002-3007

T. Rowe Price
100 East Pratt Street
Baltimore, Maryland 21202-1009

Vanguard
100 Vanguard Blvd
Malvern, PA 19355

Van Eck Global Hard Asset Fund
335 Madison Avenue, 19th Floor
New York City, NY 10017

Vulcan Value Partners
Three Protective Center
2801 Highway 280 South, Suite 300
Birmingham, AL 35223

Other than Separate Accounts:

Advent International Corporation
75 State Street
Boston, MA 02109

Anchorage Capital Partners Offshore, Ltd.
c/o International Fund Services (Ireland) Limited
78 Sir John Rogerson's Quay
Dublin 2
Ireland

AQR Capital Management LLC
Two Greenwich Plaza
Greenwich, CT 06830

Binary Capital
1550 Bryant Street, Suite 700
San Francisco, CA 94103

Blackstone Group L.P.
345 Park Avenue, 10th Floor
New York, NY 10154

Brahman Capital Corp.
655 3rd Avenue, 11th Floor
New York City, NY 10017

Coatue Offshore Fund, Ltd.
c/o Morgan Stanley Fund Services (Ireland) Limited
P.O. Box 15000
The Observatory
7-11 Sir John Rogerson's Quay
Dublin 2
Ireland

Cougar Investment Fund
Department of Finance
C.T. Bauer College of Business
University of Houston
Houston, TX 77204-6021

Commonfund Capital, Inc.
15 Old Danbury Road,
P.O. Box 812
Wilton, CT 06897-0812

Davidson Kempner Institutional Partners, L.P.
885 Third Avenue, Suite 3300
New York, NY 10022

Denham Capital
185 Dartmouth Street, 7th Floor
Boston, MA 02116

Doddington Emerging Markets Fund, LLC
c/o Meteora Partners LLC
11 Broadway, Suite 965,
New York, NY 10004

Dover Street VIII Associates L.P.
c/o HarbourVest Partners, LLC
One Financial Center
Boston, Massachusetts 02111

Embarcadero Realty Services L.P.
1301 Shoreway Road, Suite 250
Belmont, CA 94002

EnCap Investments
1100 Louisiana Street, Suite 3150
Houston, Texas 77002

EnerVest, Ltd
First City Tower
1001 Fannin, Suite 800
Houston, Texas 77012

Equus Capital Partners, Ltd.
3000 Centre Square West
1500 Market Square
Philadelphia, PA 19102

Fisher Lynch
One International Place
Boston, MA 02110

Great Hill Partners LLC
One Liberty Square
Boston, MA 02109

Francisco Partners
One Letterman Drive, Building C, Suite 410
San Francisco, CA 94129

Gotham Hedged Value Strategies (International) SPC, Ltd.
c/o SS&C Technologies
80 Lamberton Road
Windsor, CT 06095

Global Alpha International
223 Wilmington West Chester Pike
Suite 303
Chadds Ford, PA 19317

Hastings Equity
4203 Montrose Boulevard Suite 350
Houston, TX 77006

HBK Offshore Fund L.P.
c/o Hedgeserv
75 St. Stephen's Green
Dublin 2 Ireland

Highline Capital International, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd.
The Observatory
7-11 Sir John Rogerson's Quay
Dublin 2
Ireland

Insight Equity III, L.P.
1400 Civic Place, Suite 250
Southlake, Texas 76092

ISAM Systematic Trend SPV Limited
c/o Northern Trust International Fund Administration Svs (Ireland) Ltd.
George's Court
54-62 Townsend Street
Dublin 2 Ireland

Jackson Square LLC
727 Sansome St., 3rd Floor
San Francisco, CA 94111

J.H. Whitney VII, L.P.
c/o J.H. Whitney Capital Partners, LLC
130 Main Street
New Canaan, CT 06840

JOHCM Asia Ex-Japan Equity Fund
1055 Westlakes Drive, Suite 310
Berwyn, PA 19312

Kelso and Company
320 Park Avenue, 24th Floor
New York City, NY 10022

Lakewood Capital Management LP
650 Madison Avenue, 25th Floor
New York City, NY 10022

Lexington Capital Partners VIII, L.P.
111 Huntington Ave., Suite 3020
Boston, MA 02199

LiveOak Venture Partners
3209 Lating Stream Lane
Austin, TX 78746

Marble Arch Offshore Partners, Ltd.
c/o State Street Administration Services Company (Ireland) Limited
78 Sir John Rogerson's Quay
Dublin 2 Ireland

Morgan Stanley Investment Management
One Tower Bridge
100 Front Street, Suite 1100
West Conshohocken, PA 19428

Mercury Fund LP
3737 Buffalo Speedway
Houston, Texas 77098

Newlin Realty Partners, LP
502 East Washington Avenue, Suite 2-D
Newtown, PA 18940

Northern Trust Asset Management
181 W. Madison
Chicago IL, 60603

Partner Fund Management LP
Four Embarcadero Center, Suite 3500
San Francisco, CA 94111

Penzance
2400 N Street, N.W.
Suite 600
Washington D.C. 20037

Sheffield International Partners, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd.
The Observatory
7-11 Sir John Rogerson's Quay
Dublin 2, Ireland

Silchester International Investors
780 Third Avenue, 42nd Floor
New York, NY 10017

Silver Lake Partners IV, L.P.
c/o Simpson Thacher & Bartlett LLP
425 Lexington Avenue
New York, NY 10017

Somerset Global Emerging Markets Fund LLC
c/o Meteora Partners LLC
11 Broadway, Suite 965
New York, NY 10004, USA

SRS Partners, Ltd.
Citco Fund Services (Cayman Islands) Limited
c/o Citco (Canada) Inc.
2 Bloor Street East, 27th Floor
Toronto, ON M4W 1A8
Canada

Standard Life Investments
One Beacon Street, 34th Floor
Boston, MA 02108

Sustainable Woodlands Fund, LP
4265 San Felipe, Suite 900
Houston, TX 77027

TrueBridge-Kauffman
1350 Environ Way
Chapel Hill, NC 27517

Thackeray Partners
5207 McKinney Ave, Suite 200
Dallas, Texas 75205

SV Life Sciences Advisors LLC
One Boston Place, Suite 3900
Boston, MA 02109

Vivo Ventures
575 High Street, Suite 201
Palo Alto, CA 94301

Wellington Trust Company, NA
280 Congress Street
Boston, MA 02210

Weathergag Capital
820 Ramona Street
Suite 100
Palo Alto, CA 94301

William Blair Funds
222 West Adams Street
Chicago, IL 60606

2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of arrangements. Yes, the institution has a commission recapture program that includes a network of equity brokers. Managers of separately managed equity accounts are requested, to the extent possible and on a best efforts basis, to direct up to 25% of the annual trades through the network of equity brokers. The commission rate paid on a commission recapture transaction shall, to the extent practical, be no different than the rate an investment manager would normally pay on transactions done via a similar execution venue. Block trading orders shall not be precluded from trading in the commission recapture program.
3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments. Following is the name and contact at each foundation and the market value of its investments as of June 30, 2018, the August 31 numbers were not available at the time of this report.

Foundation for Education and Research in Vision
Attn: Elizabeth Mauzy #713-743-1795
College of Optometry

emaury@optometry.uh.edu

Houston, TX 77204-2020

Market Value of Endowment/Investments: \$5,540,782

(MV as of June 30, 2018. August 31 number not available at the time of this report.)

Houston Alumni Association

scmuelle@Central.UH.EDU

Attn: Steve Mueller # 713-743-8190

5000 Gulf Freeway, Building 1

Houston, TX 77204

Market Value of Endowment/Investments: \$7,977,719

(MV as of June 30, 2018. August 31 number not available at the time of this report.)

Houston Athletics Foundation

LHTEX1@airmail.com

Attn: Les Haulbrook #713-358-2051

Address: 3100 Cullen, Suite 2004

Houston, Texas 77204-6004

Market Value of Endowment/Investments: \$3,445,168

(MV as of June 30, 2018. August 31 number not available at the time of this report.)

UH College of Business Foundation

jkrovotka@bauer.edu

Attn: Julie Krovontka 713-743-4633

334 Melcher Hall

Houston, TX 77204-6021

Market Value of Endowment/Investments: \$36,162,862.76

(MV as of June 30, 2018. August 31 number not available at the time of this report.)

UH Foundation

TGNeale@aol.com

Attn: Terrylin G. Neale #713-622-6061

P.O. Box 27405

Houston, TX 77227-7405

Market Value of Endowment/Investments: \$137,537,412

(MV as of June 30, 2018. August 31 number not available at the time of this report.)

UH Law Foundation

hhwang4@Central.UH.EDU

Attn: Helen Wang #713-743-3742

100 Law Center

Houston, TX 77204-6371

Market Value of Endowment/Investments: \$27,132,048

(MV as of June 30, 2018. August 31 number not available at the time of this report.)